

A LEMMA ON POLYNOMIAL ROOTS

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ABSTRACT. We prove a simple lemma on polynomial roots, which is a special case of Cauchy's bound on their magnitude.

Lemma 0.1. *For any monic polynomial of degree n , with real coefficients $\{c_i : 0 \leq |c_i| \leq a\}$, then for any solution y_i , $1 \leq i \leq n$, we have $|y_i| \leq a + 1$.*

Proof. Let A be the $n \times n$ matrix, defined by $A_{ij} = \delta_{ij}y_i$, for $1 \leq i, j \leq n$. By the spectral radius formula, we have that $|y_i| \leq \lim_{k \rightarrow \infty} \|A^k\|^{\frac{1}{k}}$, where $\|\cdot\|$ is the spectral norm, given by $\max_{1 \leq i \leq n} \lambda_i$, and, for $1 \leq i \leq n$, λ_i is an eigenvalue of AA^* . We have, $\|A^k\| \leq (\sum_{i=1}^n |y_i|^{2k})^{\frac{1}{2}}$, so $|y_i| \leq \lim_{k \rightarrow \infty} \|A^k\|^{\frac{1}{k}} \leq \lim_{k \rightarrow \infty} (\sum_{i=1}^n |y_i|^{2k})^{\frac{1}{2k}}$. We claim that for any $\epsilon > 0$, we can choose $k(\epsilon) \in \mathcal{N}_{>0}$, such that $\arg(y_i^{k(\epsilon)}) \leq \epsilon$, for $1 \leq i \leq n$, (*). It then follows that we can find infinitely many k , for which $\operatorname{Re}(y_i^k) > 0$. For such k , using the fact that the roots $\{y_i : 1 \leq i \leq n\}$ are closed under complex conjugation, we have that;

$$\begin{aligned} (\sum_{i=1}^n |y_i|^{2k}) &\leq (\sum_{i=1}^n y_i^k)^2 \\ (\sum_{i=1}^n |y_i|^{2k})^{\frac{1}{2k}} &\leq (\sum_{i=1}^n y_i^k)^{\frac{1}{k}} \leq a + 1 \end{aligned}$$

where we have used the bound $|\sum_{i=1}^n y_i^k| \leq (a + 1)^k$, that follows from the Newton identities for power sums. This gives the result. We now show (*). We let $S^1 = \{e^{i\theta} : 0 \leq \theta \leq 2\pi\}$ denote the unit circle, $T = S^1 \times S^1$ the torus, and $T_n = (T)^n$ the n torus. T is naturally isomorphic to a complex projective irreducible algebraic group of dimension 1, using the fact that \mathcal{C}/Δ is a complex analytic group, and the GAGA principle. In order to show (*), we first consider the closed irreducible algebraic subgroups of T_m for $m \in \mathcal{Z}_{>0}$. We claim that if $G_1 \leq G$ is such a group, then, up to reordering;

$$G_1 = \{(\bar{g}, \bar{h}, \bar{g}', \bar{e}) : \bar{g} \in T_r, \bar{h} = \bar{g}^{-1}, \bar{g}' \in T_{r'}\}$$

where, \bar{g} has length r , and \bar{e} is a repeated tuple of the identity, with length r'' , so $2r + r' + r'' = m$, (\dagger). Let $\dim(G_1) = s$, and choose a projection $pr_{m,s} : T_m \rightarrow T_s$, such that $pr_{m,s}|_{G_1}$ is generically finite. As G_1 is closed, we have $pr_{m,s}(G_1) = T_s$. If $pr_{m,s}|_{G_1}$ has an infinite fibre, then, by purely group theoretic considerations, $\dim(\text{Ker}(pr_{m,s}|_{G_1})) \geq 1$, and $\dim(G_1) \geq s+1$. Therefore, $pr_{m,s}|_{G_1}$ has finite fibres, and, by Zariski's Main Theorem, $pr_{m,s}|_{G_1}$ is a finite morphism. By the same group theoretic considerations, for all $g, h \in T_s$, $\text{Card}(pr_{m,s}|_{G_1^{-1}}(g)) = \text{Card}(pr_{m,s}|_{G_1^{-1}}(h))$. If $\deg(pr_{m,s}|_{G_1}) = l \geq 2$, then, using the fact that the ramification locus of $pr_{m,s}|_{G_1}$ has codimension 1, and a Zariski geometries argument, see [1], we can find $g \in T_s$, with $1 \leq \text{Card}(pr_{m,s}|_{G_1^{-1}}(g)) = l' < l$, hence $\deg(pr_{m,s}|_{G_1}) = 1$. Now the result follows by straightforward consideration of maps on lattices. We now show, that, for any $x \in T_m$, and $\epsilon > 0$, there exists $k_{x,\epsilon}$, with $|x^{k_{x,\epsilon}} - \bar{1}| \leq \epsilon$, (**). We prove this by induction on m . If $m = 1$, and $x \in T_1$, consider the orbit $G_x = \{x^k : k \in \mathcal{Z}\}$, and the Zariski closure $H_x = \overline{G_x}$. If $H_x = T_1$, then clearly the result (**) follows for $m = 1$, otherwise, G_x is finite, and we can take $k_{x,\epsilon} = \text{Card}(G_x)$. Suppose the result is true for m . Taking $x \in T_{m+1}$, we again consider the orbit G_x and its closure H_x . If $H_x = T_{m+1}$, the result follows. Otherwise, H_x is a proper algebraic subgroup of T_{m+1} . If H_x is connected, we can write x in the form given by (\dagger), with corresponding tuples $\{\bar{g}, \bar{h}, \bar{e}\}$. As H_x is a proper subgroup, we can assume that $\text{length}(\bar{g}) = r < m + 1$, $\text{length}(\bar{g}') = r' < m + 1$, then, using the induction hypothesis, we can find $k_{x,\delta}$ such that $|\bar{g}_{x,\delta}^k - \bar{e}_r| < \delta$, and, $|\bar{g}'_{x,\delta}^k - \bar{e}_{r'}| < \delta$. Then $|x^{k_{x,\delta}} - \bar{e}_{m+1}| \leq 3\delta$. Taking $\delta = \frac{\epsilon}{3}$ gives the required result. If H_x is not connected, we can write H_x as a finite union $\bigcup_{i=1}^p a_i H$, where H is the connected component. First, observe that we can write a coset $a_i H$ in the form rH , where r is a w 'th root of unity in the group T_{m+1} , (***). In order to see this, observe that the cosets $\{a_i^n H : n \in \mathcal{Z}\}$ cannot all be distinct, as H_x has only finitely many connected components. Then $a_i^w \in H$, for some $w \in \mathcal{Z}_{>0}$, and, hence, $a_i^w s^w = (a_i s)^w \in H$, where s is a w 'th root of unity. Then, using the description of connected subgroups in (**), $a_i s = (\bar{c}, \bar{v})$, where $\bar{v}^w = \bar{e}_{r''}$, and $\bar{c} \in pr_{m+1,2r+r'}(H)$ (this last group being closed under taking roots). It follows that we can find a further w 'th root of unity $q = (\bar{e}_{m+1,2r+r'}, \bar{v}^{-1})$, such that $a_i s q \in H$. Taking $r = s q$ gives (***) . The result (**) now follows from the previous claim, replacing x with x' , taking $k_{x,\epsilon} = w k_{x',\delta}$, where $x = r x'$, with $x' \in H$, $r^w = 1$. □

REFERENCES

- [1] Zariski Geometries, B. Zilber, LMS Lecture Note Series, (2010).

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